

**Department of Commerce**  
**Mary Matha Arts & Science College Mananthavady**

**Certificate Course**

**Certificate Course in Stock Market Operations**

**Syllabus**

<b>Course Code</b>	<b>Contact Hours</b>	<b>Time</b>
CCCOM(1) 22-23	30 Hours	3.30 to 4.30

**Objectives:**

The Main Objectives of the course are the following:

1. To provide an introduction to the financial markets and to analyze the role of financial markets for the broader macro economy.
2. To help them to understand the practical aspects of primary and secondary market operations.

**Learning Outcome:**

On successful completion of the course students will be able to:

1. Understand the role and importance of Indian Stock market Operations.
2. Apply and analyze the Concepts relevant to Indian Stock markets.

**Eligibility:**

HSC/+2 /equitant examination pass

**Scheme of Examination:**

Theory examination for 3 hours duration at the end of the course

**Attendance:**

75% attendance is compulsory for attending the examination

**Eligibility for certificate**

Participants should secure 50% marks in the examination

**Implementation and monitoring the course:**

The course will be implemented and monitored by IQAC of the college

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**CCCOM(1) 22-23 Certificate Course in Stock Market Operations**

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<b>Course Code</b>	<b>Contact Hours</b>	<b>Time</b>
CCCOM(1) 22-23	30 Hours	3.30 to 4.30

**No. of Contact hours – 5 Hrs Per week Total 30 Hrs**

Objectives:

The Main Objectives of the course are the following:

1. To provide an introduction to the financial markets and to analyze the role of financial markets for the broader macro economy.
2. To help them to understand the aspects of primary and secondary market.
3. To develop the student's ability to deal with Practical Approach towards Stock Market Operations.

**Module I**

Basics of Investment-How Do We Invest- Nature of Investment Decisions- The Investment Process- Features of Investments- Investment Alternatives – Types of Investors [5Hours]

**Module II**

Securities Market: Products, Participants and Functions – Securities Market and Financial System – Regulatory Framework [5 Hours]

**Module III**

Primary Market and Secondary Market – Structure of Primary Market in India – Public Issue Through Prospectus - Offer for Sale - Private Placement - Rights Issue - Over-the-Counter Placement - - Book-Building - Primary Market Intermediaries in India Importance of Secondary Market - Stock Market - Functions of a Stock Exchange - Advantages of Stock Exchange - Speculations in Stock Exchange - Investment Procedure in Stock Market [10 Hours]

**Module IV**

Practical Approach to Stock Market - Procedure of Opening Demat Account -Depository Participant's- CDSL, NSDL - Part Time Brokers, Full Time Brokers - Meaning Of De-mat Account & Trading Account - Procedure to open De-mat Account- Trading & Investment in Equity Market - Intra Day Trading Strategy - Delivery & Settlement Procedure [10 Hours]

## **References**

Coates, Robert C: Investment Strategy, McGraw-Hill, 1978.

Haim Levy, Marshall Sarnat: Portfolio and Investment Selection: Theory and Practice, Prentice Hall, 1984.